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(REV 5-93)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A  
FILING UNDER 35 U.S.C. 371**

300: Resub PCT/PI0 19 JAN 2001  
225/49513

U.S. APPLICATION NO (if known, see 37 CFR 1.5)

09/744028

INTERNATIONAL APPLICATION NO.  
PCT/EP00/02623INTERNATIONAL FILING DATE  
24 March 2000 (24.03.2000)PRIORITY DATE CLAIMED  
19 May 1999 (19.05.99)

TITLE OF INVENTION EXHAUST-GAS CLEANING SYSTEM WITH INTERNAL AMMONIA GENERATION, FOR THE REDUCTION OF NITROGEN OXIDES

APPLICANT(S) FOR DO/EO/US Walter BOEGNER, Martin HARTWEG, Brigitte KONRAD, Bernd KRUTZSCH, Michel

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (UNEXECUTED)
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Item 11. to 16. below concern other document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
  - a. Form PCT/IB/308
  - b. International Search Report

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 2em; font-weight: bold; margin: 5px 0;">09/744028</div>	INTERNATIONAL APPLICATION NO. PCT/EP00/02623	ATTORNEY'S DOCKET NUMBER 225/49513
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17. <input type="checkbox"/> The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)):  Search Report has been prepared by the EPO or JPO ..... \$860.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... \$690.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482)  but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$710.00 Neither international preliminary examination fee (37 CFR 1.482) nor  international search fee (37 CFR 1.445(a)(2) paid to USPTO ..... \$ 1000.00 International preliminary examination fee paid to USPTO (37 CFR 1.482)  and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$100.00  <div style="text-align: right; font-weight: bold;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="text-align: left; padding: 5px;">CALCULATIONS</th> <th style="text-align: left; padding: 5px;">PTO USE ONLY</th> </tr> <tr> <td style="padding: 5px;">\$860.00</td> <td style="padding: 5px;"></td> </tr> <tr> <td style="padding: 5px;">\$130.00</td> <td style="padding: 5px;"></td> </tr> <tr> <td style="padding: 5px;">\$</td> <td style="padding: 5px;"></td> </tr> <tr> <td style="padding: 5px;">\$</td> <td style="padding: 5px;"></td> </tr> <tr> <td style="padding: 5px;">\$</td> <td style="padding: 5px;"></td> </tr> <tr> <td style="padding: 5px;"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td style="padding: 5px;">\$990.00</td> </tr> <tr> <td style="padding: 5px;">Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).</td> <td style="padding: 5px;">\$</td> </tr> <tr> <td style="padding: 5px; text-align: right;"><b>SUBTOTAL =</b></td> <td style="padding: 5px;">\$990.00</td> </tr> <tr> <td style="padding: 5px;">Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</td> <td style="padding: 5px;">\$</td> </tr> <tr> <td style="padding: 5px; text-align: right;"><b>TOTAL NATIONAL FEE =</b></td> <td style="padding: 5px;">\$990.00</td> </tr> <tr> <td style="padding: 5px;">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +</td> <td style="padding: 5px;">\$</td> </tr> <tr> <td style="padding: 5px; text-align: right;"><b>TOTAL FEE ENCLOSED =</b></td> <td style="padding: 5px;">\$990.00</td> </tr> <tr> <td style="padding: 5px;"></td> <td style="padding: 5px;">Amount to be: \$</td> </tr> <tr> <td style="padding: 5px;"></td> <td style="padding: 5px;">refunded</td> </tr> <tr> <td style="padding: 5px;"></td> <td style="padding: 5px;">charged \$</td> </tr> </table>	CALCULATIONS	PTO USE ONLY	\$860.00		\$130.00		\$		\$		\$		<b>TOTAL OF ABOVE CALCULATIONS =</b>	\$990.00	Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).	\$	<b>SUBTOTAL =</b>	\$990.00	Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).	\$	<b>TOTAL NATIONAL FEE =</b>	\$990.00	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +	\$	<b>TOTAL FEE ENCLOSED =</b>	\$990.00		Amount to be: \$		refunded		charged \$
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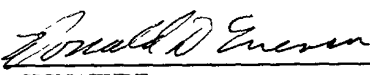
a. ☒ One check in the amount of \$990.00 for the filing fee is enclosed

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 05-1323. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO: Evenson, McKeown, Edwards & Lenahan, P.L.L.C. 1200 G Street, N.W., Suite 700 Washington, D.C. 20005 Tel. No. (202) 628-8800 Fax No. (202) 628-8844	<div style="font-family: cursive; font-size: 1.2em; margin-bottom: 5px;">  </div> <div style="border-top: 1px solid black; padding-top: 2px;">SIGNATURE</div> <div style="border-top: 1px solid black; padding-top: 2px;">Donald D. Evenson</div> <div style="border-top: 1px solid black; padding-top: 2px;">NAME</div> <div style="border-top: 1px solid black; padding-top: 2px;">26,160</div> <div style="border-top: 1px solid black; padding-top: 2px;">REGISTRATION NUMBER</div> <div style="border-top: 1px solid black; padding-top: 2px;">1/19/01</div> <div style="border-top: 1px solid black; padding-top: 2px;">DATE</div>
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Attorney Docket: 225/49513

JC07 Rec'd PCT/PTO PATENT 19 JAN 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: WATLER BOEGNER ET AL.

Serial No.: TO BE ASSIGNED

Filed: CONCURRENT HEREWITH

Title: EXHAUST GAS CLEANING SYSTEM HAVING INTERNAL  
AMMONIA PRODUCTION FOR REDUCING NITROGEN OXIDES

PRELIMINARY AMENDMENT

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Prior to calculation of the filing fee and examination,  
please enter the following amendments:

IN THE SPECIFICATION

Page 1, after the title, insert the heading --BACKGROUND AND  
SUMMARY OF THE INVENTION-

line 4, delete ", according to the preamble of Claim 1"  
and insert --having an ammonia-generation catalytic converter for  
generating ammonia using constituents of at least some of the  
exhaust gas emitted from the combustion source during ammonia-  
generation operating phases, and a nitrogen oxide reduction  
catalytic converter, which is connected downstream of the  
ammonia-generation catalytic converter, for reducing nitrogen  
oxides which are contained in the exhaust gas emitted from the

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combustion source using the ammonia generated as the reducing agent--.

Page 3, lines 15-16, delete "having the features of Claim 1" and insert --wherein a plasma generator is connected upstream of the ammonia-generation catalytic converter for generating reactive particles using plasma technology from constituents of the exhaust gas which is fed to the ammonia-generation catalytic converter during the ammonia-generation operating phases, which reactive particles assist the ammonia-generation reaction in the ammonia-generation catalytic converter--.

Page 4, line 12, delete "in accordance with Claim 2".

Page 5, after line 5 and before line 6, insert the heading --BRIEF DESCRIPTION OF THE DRAWING--.

After line 10 and before line 11, insert the heading --DETAILED DESCRIPTION OF THE DRAWING--.

IN THE CLAIMS

1. (Amended) Exhaust-gas cleaning system for cleaning the exhaust gas which is emitted from a combustion source, in particular a motor vehicle internal-combustion engine, so as to remove at least nitrogen oxides which are contained therein, having

- an ammonia-generation catalytic converter [(5)] for generating ammonia using constituents of at least some of the

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exhaust gas emitted from the combustion source [(1)] during ammonia-generation operating phases, and

- a nitrogen oxide reduction catalytic converter [(4)], which is connected downstream of the ammonia-generation catalytic converter, for reducing nitrogen oxides which are contained in the exhaust gas emitted from the combustion source using the ammonia generated as the reducing agent, characterized by

- a plasma generator [(6)], which is connected upstream of the ammonia-generation catalytic converter [(5)], for generating, using plasma technology, reactive particles, which assist the ammonia-generation reaction in the ammonia-generation catalytic converter, from constituents of the exhaust gas which is fed to the ammonia-generation catalytic converter during the ammonia-generation operating phases.

2. (Amended) Exhaust-gas cleaning system according to Claim 1, further characterized by

- means [(8)] for determining the temperature of the ammonia-generation catalytic converter [(5)], and
- a plasma control unit [(7)], which keeps the plasma generator [(6)] activated when the ammonia-generation catalytic converter temperature determined is below a predeterminable temperature threshold, and keeps it deactivated when the ammonia-generation catalytic converter temperature determined is above the predeterminable temperature threshold.

3. (Amended) Exhaust-gas cleaning system according to Claim 2, further characterized in that the plasma control unit [(7)] is designed for a temperature threshold of between 200°C and 300°C, preferably approximately 250°C.

Please insert the following new claims 4-13:

--4. Method of operating an internal combustion engine which in use emits exhaust gas, comprising:

separating the exhaust gas into a plurality of separate exhaust gas flows,

passing a first of the exhaust gas flows from the engine to a nitrogen oxide reduction catalytic converter along a first flow path,

passing a second of the exhaust gas flows from the engine to the nitrogen oxide reduction catalytic converter along a second flow path,

passing the second gas flow through an ammonia generating catalytic converter disposed in the second flow path upstream of the nitrogen oxide reduction catalytic converter to thereby generate ammonia using a portion of the exhaust gas during ammonia generation operating phases,

assisting ammonia generation reactions in the ammonia generating catalytic converter during the ammonia generating operating phases using a plasma generator connected upstream of the ammonia generating catalytic converter and utilizing reactive

particles from constituents of the exhaust gas in the second exhaust gas flow.

5. A method according to claim 4, comprising monitoring the temperature of exhaust gases between the plasma generator and the ammonia generating catalytic converter and using said temperature to control actuation of the plasma generator when said temperature is below a predetermined temperature threshold and to control deactivation of the plasma generator when said temperature is above said temperature threshold.

6. A method according to claim 5, wherein said temperature threshold is between 200° C and 300° C.

7. A method according to claim 6, wherein said temperature threshold is approximately 250° C.

8. A method according to claim 4, wherein said first flow path directly communicates the exhaust gas to the nitrogen oxide reduction catalytic converter in bypassing relation to the second flow path through the plasma generator and the ammonia generating catalytic converter.

9. An internal combustion engine assembly which in use emits exhaust, comprising

exhaust gas separating means for separating the exhaust gas into a plurality of separate exhaust gas flows,

means for passing a first of the exhaust gas flows from the engine to a nitrogen oxide reduction catalytic converter along a first flow path,

means for passing a second of the exhaust gas flows from the engine to the nitrogen oxide reduction on catalytic converter along a second flow path,

means for passing the second gas flow through an ammonia generating catalytic converter disposed in the second flow path upstream of the nitrogen oxide reduction catalytic converted to thereby generate ammonia using a portion of the exhaust gas during ammonia generation operating phases, and

means for assisting ammonia generation reactions in the ammonia generating catalytic converter during the ammonia generating operating phases using a plasma generator connected upstream of the ammonia generating catalytic converter and utilizing reactive particles from constituents of the exhaust gas in the second exhaust gas flow.

10. The assembly according to claim 9, comprising means for monitoring the temperature of exhaust gases between the plasma generator and the ammonia generating catalytic converter, and

means for controlling actuation of the plasma generator when said temperature is below a predetermined temperature threshold and for controlling deactivation of the plasma generator when said temperature is above said temperature threshold.

11. The assembly of claim 10, wherein said temperature threshold is between 200° C and 300° C.

12. The assembly of claim 11, wherein said temperature threshold is approximately 250° C.



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13. The assembly of claim 9, wherein said first flow path directly communicates the exhaust gas to the nitrogen oxide reduction catalytic converter in bypassing relation to the second flow path through the plasma generator and the ammonia generating catalytic converter.--

IN THE ABSTRACT

Please delete the abstract in its entirety and substitute therefor the attached abstract.

REMARKS

It is respectfully requested that the above amendments be entered prior to calculation of the filing fee and prior to examination. New claims 4-18 have been added to round out the coverage to which Applicants are entitled. No new matter has been added.

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If there are any questions regarding this amendment or the application in general, a telephone call to the undersigned would be appreciated since this should expedite the prosecution of the application for all concerned.

Respectfully submitted,

January 19, 2001



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Registration No. 26,160

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ABSTRACT OF THE DISCLOSURE

An exhaust-gas cleaning system for cleaning exhaust gas from a combustion source so as to remove at least nitrogen oxides contained therein is provided. An ammonia-generation catalytic converter for generating ammonia uses constituents of at least some of the exhaust gas emitted from the combustion source during ammonia-generation operating phases. A downstream nitrogen oxide reduction catalytic converter is provided for reducing nitrogen oxides which are contained in the exhaust gas emitted from the combustion source using the ammonia generated as the reducing agent. According to the invention, a plasma generator for using plasma technology to generate reactive particles, which promote the ammonia-generation reaction, from constituents of the exhaust gas fed to the ammonia-generation catalytic converter during the ammonia-generation operating phases is connected upstream of the ammonia-generation catalytic converter. This ensures that sufficient ammonia is generated even at relatively low exhaust-gas temperatures.

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Exhaust-Gas Cleaning System with Internal Ammonia  
Generation, for the Reduction of Nitrogen Oxides

The invention relates to an exhaust-gas cleaning system for cleaning the exhaust gas from a combustion source so as to remove at least nitrogen oxides which are contained therein, according to the preamble of Claim 1.

5 Exhaust-gas cleaning systems of this type are used in particular for exhaust-gas cleaning in motor vehicle internal-combustion engines and are described, for example, in publications EP 0 802 315 A2 and WO 97/17532 A1. They include a nitrogen oxide reduction  
10 catalytic converter for the selective catalytic reduction of nitrogen oxides which are contained in the exhaust gas emitted from the combustion source using ammonia as the reducing agent, referred to for short as the SCR process. In order that it is not necessary to hold a stock of  
15 ammonia or a precursor in a tank, an ammonia-generation catalytic converter is connected upstream of the nitrogen oxide reduction catalytic converter, the ammonia-generation catalytic converter generating the ammonia which is required using constituents of at least some of  
20 the exhaust gas which is emitted from the combustion source during corresponding ammonia-generation operating phases, specifically by means of a synthesis reaction of hydrogen and nitrogen monoxide. In these ammonia-generation operating phases, a rich air ratio is set for

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the exhaust gas which is fed to the ammonia-generation catalytic converter, in order that sufficient hydrogen be available. In this context, the terms rich and lean air ratio, also known as the lambda value, are understood as meaning, as is customary, a composition of the exhaust gas or of the associated fuel mixture burnt in the combustion source which deviates from the stoichiometric composition towards being fuel-rich or oxygen-rich, respectively. In this context, if only for fuel consumption reasons it is desired for the combustion source to be operated as much as possible in lean-burn mode and as little as possible in rich-burn mode, for example as a result of prolonged lean-burn operating phases alternating with brief rich-burn operating phases or, in the case of a multicylinder internal-combustion engine, only some of the cylinders, and preferably likewise only from time to time, being operated in rich-burn mode, whereas the other cylinders are continuously operated in lean-burn mode.

The ammonia-generation catalytic converter used is usually a three-way catalytic converter which contains as the catalyst material, by way of example, Pt and/or Rh supported on  $\gamma$ - $\text{Al}_2\text{O}_3$ , which is suitable for catalysing the synthesis reaction of hydrogen and nitrogen monoxide to form ammonia. However, it has been found that without further measures the selectivity for effective ammonia formation by this synthesis reaction is only present at

The invention is based on the technical problem of providing an exhaust-gas cleaning system of the type mentioned in the introduction in which ammonia can be synthesized in significant quantities even at relatively low temperatures of below approximately 250°C to 300°C and is available as a reducing agent for nitrogen oxide reduction at such temperatures.

The invention solves this problem by providing an exhaust-gas cleaning system having the features of Claim 1. This system characteristically contains a plasma generator connected upstream of the ammonia-generation catalytic converter. This generator, at least from time to time during the ammonia-generation operating phases, generates a plasma through which the exhaust gas which is then fed to the ammonia-generation catalytic converter is passed. The plasma-generation parameters are set in such a way that reactive particles such as H, OH and/or O<sub>2</sub>H free radicals are formed from constituents of the exhaust gas passed through, which free radicals promote the ammonia-generation reaction in the ammonia-generation catalytic converter. It is thus possible especially, even

in the low temperature range, in which the ammonia synthesis reaction from the exhaust-gas constituents does not proceed effectively without further assistants, for ammonia to be generated internally in significant  
5 amounts, which is then available for the nitrogen oxide reduction. External metering of the ammonia or a precursor in these periods with a relatively low ammonia-generation catalytic converter temperature can therefore generally be dispensed with without having to forego an  
10 effective, ammonia-based nitrogen oxide reduction.

In an exhaust-gas cleaning system which is refined in accordance with Claim 2, means for detecting the ammonia-generation catalytic converter temperature and a plasma control unit are provided, in such a manner  
15 that during the ammonia-generation operating phases the plasma for the generation of reactive particles is provided precisely in those periods in which the temperature of the ammonia-generation catalytic converter is below a predeterminable temperature threshold. This  
20 threshold is expediently selected in such a way that at temperatures above the threshold effective ammonia synthesis is effected in the ammonia-generation catalytic converter even without the reactive particles generated using plasma technology. In a further, preferred  
25 configuration of this measure, the corresponding plasma control unit is designed for a temperature threshold of between 200°C and 300°C, preferably for a threshold of

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approximately 250°C. It has been found that effective plasma-assisted ammonia synthesis can be effected below this temperature range and effective ammonia synthesis can be effected even without additional plasma activation  
5 above this temperature range.

An advantageous embodiment of the invention is illustrated in the drawing and is described below.

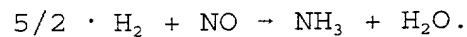
The only figure shows a schematic block diagram of an internal combustion engine with associated exhaust-  
10 gas cleaning system.

The exhaust-gas cleaning system shown is used to clean the exhaust gas from a combustion source in the form of a four-cylinder internal-combustion engine 1 such as can be used in particular in motor vehicles as an  
15 internal combustion engine which is operated predominantly in lean-burn mode. Of the four cylinders 2a to 2d, a first and second cylinder 2a, 2b are connected in parallel to a first exhaust pipe branch 3a, and a third and fourth cylinder 2c, 2d are connected to a  
20 second exhaust pipe branch 3b which is parallel to the first. The two exhaust pipe branches 3a, 3b together open into a nitrogen oxide reduction catalytic converter 4. In the second exhaust pipe branch 3b, an ammonia-generation catalytic converter 5 is arranged upstream of the  
25 nitrogen oxide reduction catalytic converter 4. This ammonia-generation catalytic converter may, for example, be formed by a three-way catalytic converter which

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contains a Pt and/or Rh catalyst material on a  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> support material, which is able, at a sufficiently high temperature, to catalyse the synthesis of ammonia from hydrogen and nitrogen monoxide in accordance with the following equation



If no further measures are taken, this catalytic converter can be used to synthesize ammonia with sufficient selectivity at temperatures of at least approximately 250°C to 300°C. The ammonia can then be used in the nitrogen oxide reduction catalytic converter 4 as a reducing agent for nitrogen oxides.

To be able to prepare significant quantities of ammonia for nitrogen oxide reduction even at lower temperatures of below approximately 250°C to 300°C, a plasma generator 6 is connected upstream of the ammonia-generation catalytic converter 5 in the second exhaust pipe branch 3b. The plasma generator 6 can be used, at the corresponding location in the second exhaust pipe branch 3b, to ignite a plasma through which is passed the exhaust gas which is emitted from the third and fourth cylinders 2c, 2d of the internal combustion engine 1 and is guided via the second exhaust pipe branch 3b before it reaches the ammonia-generation catalytic converter 5. The plasma parameters are selected in such a way that

reactive particles, in particular free radicals, are formed from constituents contained in the exhaust gas flowing through the plasma, these reactive particles, for example H, OH and O<sub>2</sub>H free radicals, assisting the ammonia synthesis reaction in the downstream ammonia-generation catalytic converter 5. The plasma generator 6 is driven by a plasma control unit which, in the example shown, is formed by an engine control unit 7 which additionally controls the internal combustion engine 1 and the remaining components of the exhaust-gas cleaning system using conventional control principles.

The plasma generator 6 can be controlled by the plasma control unit 7 as a function of the temperature of the ammonia-generation catalytic converter 5. To detect the ammonia-generation catalytic converter temperature, a temperature sensor 8 is provided in the second exhaust pipe branch 3b, between plasma generator 6 and ammonia-generation catalytic converter 5, which sensor measures the temperature of the exhaust-gas stream which is present at that location and represents an unambiguous measurement of the temperature of the ammonia-generation catalytic converter 5 which is heated by this exhaust-gas stream. It will be understood that the ammonia-generation catalytic converter temperature may alternatively also be detected in some other way, for example by a temperature sensor directly in the ammonia-generation catalytic converter 5 or by indirect exhaust-gas temperature

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detection from the operating parameters of the internal combustion engine 1.

The structure shown allows the following advantageous method of operation to be implemented for the internal-combustion engine 1 and the associated exhaust-gas cleaning system. For simple reasons of fuel consumption, the internal-combustion engine 1 is operated as much as possible in lean-burn mode. For this purpose, the first two cylinders 2a, 2b can continuously be operated with a lean air/fuel mixture, i.e. with air/fuel ratios  $\lambda$  of greater than the stoichiometric value of unity. Accordingly, the air ratio  $\lambda$  of the exhaust gas emitted from these two cylinders 2a, 2b into the first exhaust pipe branch 3a is above the stoichiometric value of unity. In addition to excess oxygen, an exhaust-gas composition of this nature generally also contains elevated quantities of nitrogen oxides. To allow these nitrogen oxides to be effectively converted in the nitrogen oxide reduction catalytic converter 4 by selective catalytic reduction using ammonia as the reducing agent, the ammonia required is generated on an ongoing basis via the second exhaust pipe branch 3b.

For this purpose, the third and fourth cylinders 2c, 2d are operated at least from time to time in corresponding ammonia-generation operating phases with a rich air/fuel mixture. Accordingly, the air ratio  $\lambda$  of the exhaust gas emitted from these cylinders 2c, 2d into

the second exhaust pipe branch 3b is below the stoichiometric value of one. In addition to unburnt hydrocarbons, an exhaust-gas composition of this type additionally also contains hydrogen and a certain amount of nitrogen oxides. The plasma generator 6 is switched on and off depending on the temperature in the ammonia-generation catalytic converter 5, which is determined using an exhaust-gas temperature measurement by the temperature sensor 8 or in some other way.

Specifically, the plasma generator 6 remains switched off for as long as the ammonia-generation catalytic converter temperature is above a predetermined temperature threshold, which is preferably fixed at approximately 250°C, generally at a suitable value in the range, for example, between 200°C and 300°C. The set temperature value which is most suitable for the particular case can be set at the plasma control unit. In this higher temperature range, the enriched exhaust-gas stream in the second exhaust pipe branch 3b passes through the plasma generator 6 without being influenced and passes into the ammonia-generation catalytic converter 5, in which ammonia is generated from the exhaust-gas constituents hydrogen and nitrogen monoxide in accordance with the above synthesis reaction. At these temperatures of above approximately 250°C to 300°C, the synthesis reaction proceeds with high selectivity under the catalytic effect of the catalyst material present

there, and therefore is very effective. Together with the exhaust-gas stream from the second exhaust pipe branch 3b, the ammonia which is generated passes to the nitrogen oxide reduction catalytic converter 4, where it acts as  
5 a reducing agent for the selective catalytic reduction of the nitrogen oxides which are contained in the two exhaust-gas streams from the parallel exhaust pipe branches 3a, 3b fed to the nitrogen oxide reduction catalytic converter 4. During this reduction reaction,  
10 the nitrogen oxides are reduced to nitrogen, with water being formed.

If, during the ammonia-generation operating phase, the ammonia-generation catalytic converter temperature is below the predetermined threshold, the  
15 plasma generator 6 is activated by the plasma control unit 7. The exhaust gas emitted from the third and fourth cylinders 2c, 2d into the second exhaust pipe branch 3b then passes through the ignited plasma in the plasma generator 6, with the result that the reactive particles  
20 mentioned, primarily H, OH and/or O<sub>2</sub>H free radicals, are formed, which together with the exhaust-gas stream pass to the ammonia-generation catalytic converter 5, where they ensure that the ammonia synthesis reaction, despite the low selectivity with regard to ammonia formation at  
25 these low temperatures, proceeds to a sufficient extent to provide a quantity of ammonia sufficient for the subsequent nitrogen oxide reduction in the nitrogen oxide

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reduction catalytic converter 4. Then, as soon as further operation of the internal-combustion engine 1 causes the exhaust-gas temperature to rise above the temperature threshold, the plasma control unit 7 switches off the  
5 plasma generator 6.

Depending on the particular application, during operation of the third and fourth cylinders 2c, 2d and the associated exhaust-gas cleaning components in the second exhaust pipe branch 3b, the ammonia-generation  
10 operating phases described, in which a rich exhaust-gas composition is set for the exhaust gas flowing through the ammonia-generation catalytic converter 5, alternate with lean-burn operating phases, in which these two cylinders 2c, 2d are operated with a lean air/fuel  
15 mixture, or alternatively the ammonia-generation mode described is continuous. If the third and fourth cylinders 2c, 2d are also operated at least from time to time in lean-burn mode, the plasma control unit 7 keeps the plasma generator 6 switched off during these lean-  
20 burn operating phases. During the lean-burn operating phases, the downstream three-way catalytic converter 5 is not used primarily for ammonia synthesis, but predominantly fulfils its standard three-way catalytic converter exhaust-gas cleaning function of cleaning a  
25 lean exhaust-gas stream. It is possible for the part of the system which generates ammonia in rich-burn operating phases to be operated in lean-burn mode from time to time

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in this way in particular when there is an ammonia-storage component, for example as a result of the ammonia-generation catalytic converter 5 or the nitrogen oxide reduction catalytic converter 4 having a certain ammonia storage capacity or as a result of an additional ammonia store, for example in the form of an ammonia adsorption catalyst, being arranged between the ammonia-generation catalytic converter 5 and the nitrogen oxide reduction catalytic converter 4. In this case, the system is designed in such a way that the ammonia-generating part of the system, during the ammonia-generation operating phases, generates more ammonia than is required immediately for the nitrogen oxide reduction, so that the excess ammonia can be temporarily stored and is available for the continuous reduction of nitrogen oxides in a subsequent lean-burn operating phase of the ammonia-generating part of the system.

As a further variant, it is possible, in a conventional way, to provide for the system to operate with alternating nitrogen oxide adsorption phases and nitrogen oxide desorption phases, for which purpose the exhaust-gas cleaning system then has at least one corresponding nitrogen oxide adsorber at a suitable point in the exhaust train, for example upstream or downstream of the ammonia-generation catalytic converter 5 or in an exhaust pipe branch which runs parallel to the exhaust pipe branch of the ammonia-generation catalytic

converter.

It will be understood that the combination according to the invention of ammonia-generation catalytic converter and upstream plasma generator which  
5 can be controlled as a function of exhaust-gas temperature can be used not only for the example shown but also for systems with a different mobile or stationary combustion source with associated exhaust train which comprises one or more parallel subsections.  
10 Furthermore, it will be understood that, depending on requirements, the exhaust-gas cleaning system may contain further conventional exhaust-gas cleaning components (not shown).

In all cases, the invention makes it possible, as  
15 is clear from the examples mentioned above, to convert nitrogen oxides which are contained in the exhaust gas from an internal-combustion engine or any other mobile or stationary combustion source by selective catalytic reduction using internally generated ammonia as the  
20 reducing agent within a wide exhaust-gas temperature range of between approximately 200°C and approximately 500°C or more generally between approximately 150°C and approximately 700°C, without its generally being necessary to store ammonia or a precursor, such as for example  
25 urea, in a tank.

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Patent Claims

1. Exhaust-gas cleaning system for cleaning the exhaust gas which is emitted from a combustion source, in particular a motor vehicle internal-combustion engine, so as to remove at least nitrogen oxides which are contained therein, having

- an ammonia-generation catalytic converter (5) for generating ammonia using constituents of at least some of the exhaust gas emitted from the combustion source (1) during ammonia-generation operating phases, and

- a nitrogen oxide reduction catalytic converter (4), which is connected downstream of the ammonia-generation catalytic converter, for reducing nitrogen oxides which are contained in the exhaust gas emitted from the combustion source using the ammonia generated as the reducing agent,

characterized by

- a plasma generator (6), which is connected upstream of the ammonia-generation catalytic converter (5), for generating, using plasma technology, reactive particles, which assist the ammonia-generation reaction in the ammonia-generation catalytic converter, from constituents of the exhaust gas which is fed to the ammonia-generation catalytic converter during the ammonia-generation operating phases.

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2. Exhaust-gas cleaning system according to Claim 1, further characterized by

- means (8) for determining the temperature of the ammonia-generation catalytic converter (5), and
- a plasma control unit (7), which keeps the plasma generator (6) activated when the ammonia-generation catalytic converter temperature determined is below a predeterminable temperature threshold, and keeps it deactivated when the ammonia-generation catalytic converter temperature determined is above the predeterminable temperature threshold.

3. Exhaust-gas cleaning system according to Claim 2, further characterized in that the plasma control unit (7) is designed for a temperature threshold of between 200°C and 300°C, preferably approximately 250°C.

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Abstract

1. Exhaust-gas cleaning system with internal ammonia generation for the reduction of nitrogen oxides.

2.1. The invention relates to an exhaust-gas cleaning system for cleaning the exhaust gas from a combustion source so as to remove at least nitrogen oxides contained therein, having an ammonia-generation catalytic converter for generating ammonia using constituents of at least some of the exhaust gas emitted from the combustion source during ammonia-generation operating phases, and having a downstream nitrogen oxide reduction catalytic converter for reducing nitrogen oxides which are contained in the exhaust gas emitted from the combustion source using the ammonia generated as the reducing agent.

2.2. According to the invention, a plasma generator for using plasma technology to generate reactive particles, which promote the ammonia-generation reaction, from constituents of the exhaust gas fed to the ammonia-generation catalytic converter during the ammonia-generation operating phases is connected upstream of the ammonia-generation catalytic converter. This ensures that sufficient ammonia is generated even at relatively low

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2.3. Use, for example, for cleaning the exhaust gas from motor vehicle internal-combustion engines operated predominantly in lean-burn mode.

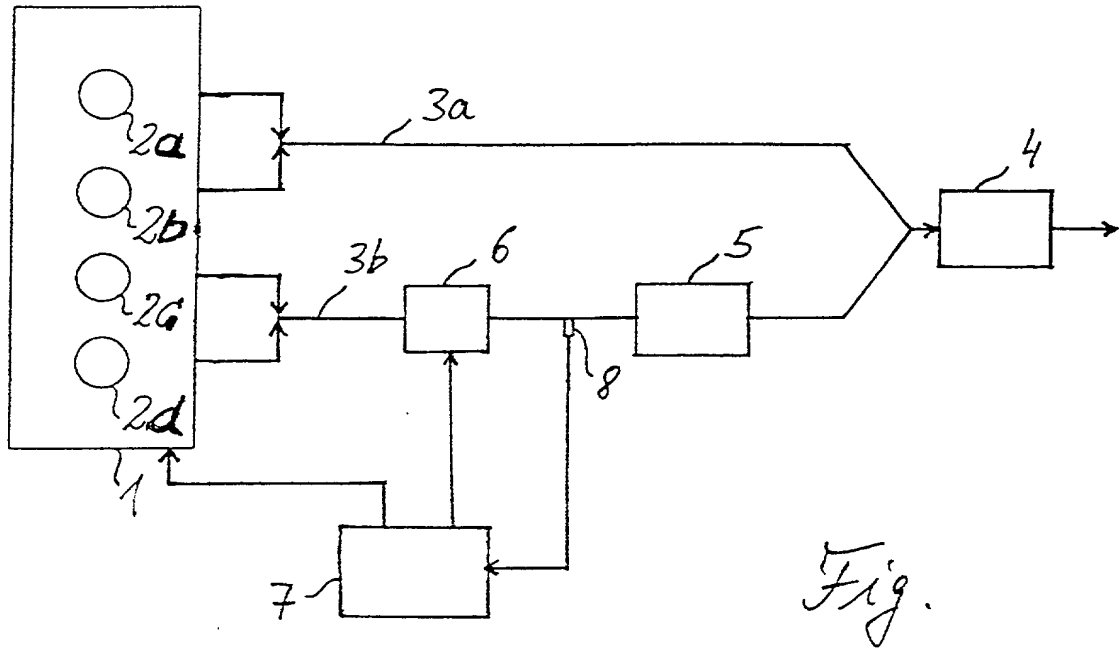


Fig.

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY  
(includes Reference to PCT International Applications)

ATTORNEY'S DOCKET NUMBER

225/49513

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

EXHAUST GAS CLEANING SYSTEM HAVING INTERNAL AMMONIA PRODUCTION FOR  
REDUCING NITROGEN OXIDES

the specification of which (check only one item below):

☐ is attached hereto.

☐ was filed as United States application  
Serial No. \_\_\_\_\_  
on \_\_\_\_\_  
and was amended  
on \_\_\_\_\_ (if applicable).

☐ was filed as PCT international application  
Number PCT/EP00/02623  
on 24 March 2000 (24.03.00)  
and was amended under PCT Article 19  
on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations. §1.56(a).

I hereby claim foreign priority benefits under Title 35, United State Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

COUNTRY (if PCT indicate PCT)	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
Germany	199 22 960.0	19 May 1999	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No



23911

PATENT TRADEMARK OFFICE

Combined Declaration For Patent Application and Power of Attorney (Continued)  
(includes Reference to PCT international Applications)

ATTORNEY'S DOCKET NUMBER

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I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national of PCT international filing date of this application:

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120

U.S. APPLICATIONS			STATUS (Check one)		
U.S. APPLICATION NUMBER	U.S. FILING DATE		PATENTED	PENDING	ABANDONED

PCT APPLICATIONS DESIGNATING THE U.S.					
PCT APPLICATION NO	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (IF ANY)			

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (List name and registration number)

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true: and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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<i>Walter Boegner</i>	<i>Martin Hartweg</i>	<i>Brigitte Konrad</i>
DATE 18.4.2001	Date 03.04.01	DATE 22.03.01

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201

300

Combined Declaration For Patent Application and Power of Attorney (Continued) (includes Reference to PCT international Applications)				ATTORNEY'S DOCKET NUMBER 225/49513	
I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national of PCT international filing date of this application:					
PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120					
U.S. APPLICATIONS			STATUS (Check one)		
U.S. APPLICATION NUMBER	U.S. FILING DATE		PATENTED	PENDING	ABANDONED
PCT APPLICATIONS DESIGNATING THE U.S.					
PCT APPLICATION NO	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (IF ANY)			
POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (List name and registration number)					
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.					
SIGNATURE OF INVENTOR 204		SIGNATURE OF INVENTOR 205		SIGNATURE OF INVENTOR 206	
Bernd Krutzsch DATE: March 27 <sup>th</sup> , 2001		Michel Weibel DATE: 03.23.2001		Guenther Werninger DATE: March 23, 2001	